

# Basic Structures: Sets, Functions, Sequences, and Sums

CSC-2259 Discrete Structures

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1

## Sets

A set is an unordered collection of objects

English alphabet vowels:  $V = \{a, e, i, o, u\}$

$$a \in V \quad b \notin V$$

Odd positive integers less than 10:

$$O = \{1, 3, 5, 7, 9\}$$

elements of set  
members of set

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## Other set representations

Set of positive integers less than 100:

$$\{1, 2, 3, \dots, 99\}$$

omitted  
elements

Odd positive integers less than 10:

$$O = \{1, 3, 5, 7, 9\}$$

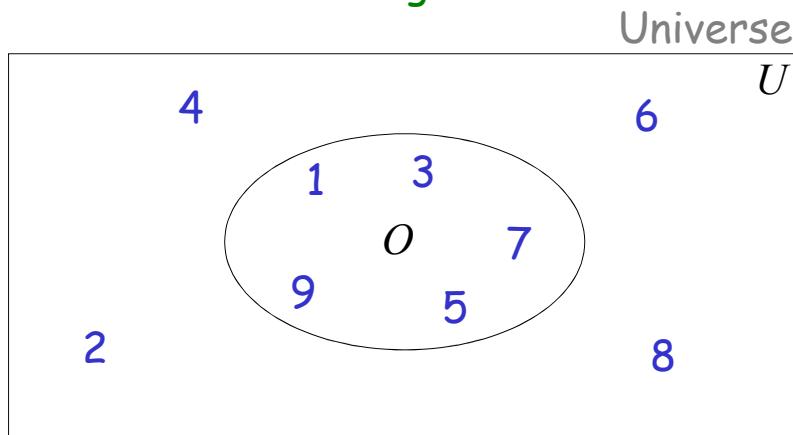
$$O = \{x \mid x \text{ is an odd positive integer less than } 10\}$$

$$O = \{x \in \mathbb{Z}^+ \mid x \text{ is odd and } x < 10\}$$

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3

## Venn Diagram



$$U = \{x \mid x \text{ is a positive integer less than } 10\}$$

$$O = \{x \mid x \text{ is an odd positive integer less than } 10\}$$

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2

## Useful sets

$$N = \{0, 1, 2, 3, \dots\}$$

Natural numbers

$$Z = \{\dots, -2, 1, 0, 1, 2, \dots\}$$

Integers

$$Z^+ = \{1, 2, 3, \dots\}$$

Positive integers

$$Q = \{p/q \mid p \in Z, q \in Z, q \neq 0\}$$

Rational numbers

$$R = \{\text{set of Real numbers}\}$$

Real numbers

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## Empty set

$$\emptyset = \{\}$$

$$\emptyset \neq \{\emptyset\}$$

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## Cardinality (size) of set

### Finite sets

$$S_1 = \{a, e, i, o, u\}$$

### Number of elements

$$|S_1| = 5$$

$$S_2 = \{a, b, c, \dots, z\}$$

$$|S_2| = 26$$

$$S_3 = \{1, 2, 3, \dots, 99\}$$

$$|S_3| = 99$$

$$|\emptyset| = |\{\}| = 0 \quad |\{\emptyset\}| = 1$$

Infinite set  $N = \{0, 1, 2, 3, \dots\}$  infinite size

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## Equal sets

$$A = B$$

$$\forall x(x \in A \leftrightarrow x \in B)$$

Examples:  $\{1, 3, 5\} = \{3, 5, 1\}$

$$\{1, 3, 5\} = \{1, 3, 3, 3, 5, 5, 5, 5\}$$

$$\{1, 3, 5, 7, 9\} = \{x \in \mathbb{Z}^+ \mid x \text{ is odd and } x < 10\}$$

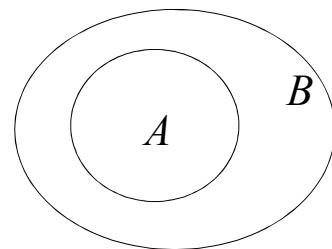
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8

## Subset

$$A \subseteq B$$

$$\forall x(x \in A \rightarrow x \in B)$$



Examples:  $\{1,3,5\} \subseteq \{0,1,3,5\}$   $N \subseteq Z$

For any set  $S$ :  $S \subseteq S$   $\emptyset \subseteq S$

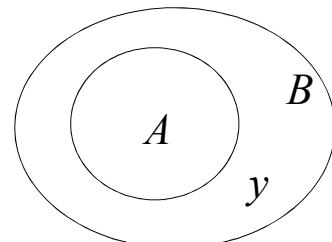
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## Proper Subset

$$A \subset B$$

$$A \subseteq B \wedge A \neq B$$



$$\forall x(x \in A \rightarrow x \in B \wedge \exists y(y \in B \wedge y \notin A))$$

Examples:  $\{1,3,5\} \subset \{0,1,3,5\}$   $N \subset Z$

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$$A = B$$

is equivalent to

$$A \subseteq B \quad \wedge \quad B \subseteq A$$

## Power set

The power set of  $S$  contains all possible subsets of  $S$  (and the empty set)

$$S = \{1, 2, 3\}$$

### Power set

$$P(S) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{2, 3\}, \{1, 3\}, \{1, 2, 3\}\}$$

$$\underbrace{| P(S) |}_{\text{Size of power set}} = 2^{|S|} = 2^3 = 8$$

Special cases

$$P(\emptyset) = \{\emptyset\}$$

$$P(\{\emptyset\}) = \{\emptyset, \{\emptyset\}\}$$

## Ordered tuples (relations)

Ordered n-tuple  $(a_1, a_2, \dots, a_n)$

ordered list of elements

$$(a_1, a_2, \dots, a_n) = (b_1, b_2, \dots, b_n) \text{ iff } \forall i (a_i = b_i)$$

Example:  $(1,2) \neq (2,1)$

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## Cartesian product

Cartesian product of two sets  $A, B$

$$A \times B = \{(a,b) \mid a \in A \wedge b \in B\}$$

Example:  $A = \{1,2\} \quad B = \{a,b,c\}$

$$A \times B = \{(1,a), (1,b), (1,c), (2,a), (2,b), (2,c)\}$$

$$B \times A = \{(a,1), (b,1), (c,1), (a,2), (b,2), (c,2)\}$$

For this case:  $A \times B \neq B \times A$

Size:  $|A \times B| = |A| \times |B| = 2 \times 3 = 6$

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14

**Cartesian product of sets**  $A_1, A_2, \dots, A_n$

$$A_1 \times A_2 \times \dots \times A_n = \{(a_1, a_2, \dots, a_n) \mid a_i \in A_i\}$$

**Example:**  $A = \{1, 2\}$   $B = \{a, b, c\}$   $C = \{x, y\}$

$$A \times B \times C = \{(1, a, x), (1, a, y), (1, b, x), (1, b, y), (1, c, x), (1, c, y), (2, a, x), (2, a, y), (2, b, x), (2, b, y), (2, c, x), (2, c, y)\}$$

**Size:**  $|A \times B \times C| = |A| \times |B| \times |C| = 2 \times 3 \times 2 = 12$

$$|A_1 \times A_2 \times \dots \times A_n| = |A_1| \times |A_2| \times \dots \times |A_n|$$

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## Sets and propositions

$\forall x \in S(P(x))$  shorthand for  $\forall x(x \in S \rightarrow P(x))$

$\exists x \in S(P(x))$  shorthand for  $\exists x(x \in S \wedge P(x))$

**Truth set of proposition**  $P(x)$

$$\{x \in \text{Domain} \mid P(x)\}$$

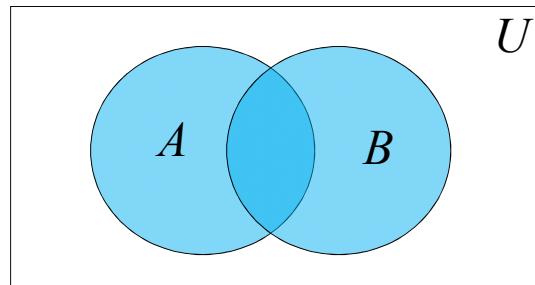
all elements of the domain which satisfy  $P(x)$

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16

## Set operations

**Union**  $A \cup B = \{x \mid x \in A \vee x \in B\}$



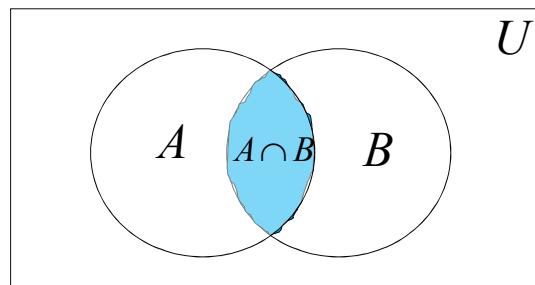
$$A = \{1, 3, 5\} \quad B = \{1, 2, 3\} \quad A \cup B = \{1, 2, 3, 5\}$$

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## Intersection

$A \cap B = \{x \mid x \in A \wedge x \in B\}$



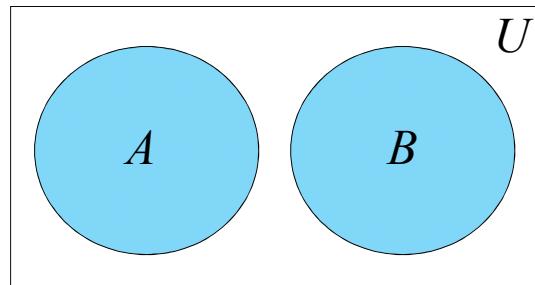
$$A = \{1, 3, 5\} \quad B = \{1, 2, 3\} \quad A \cap B = \{1, 3\}$$

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**Disjoint sets**  $A, B$

$$A \cap B = \emptyset$$



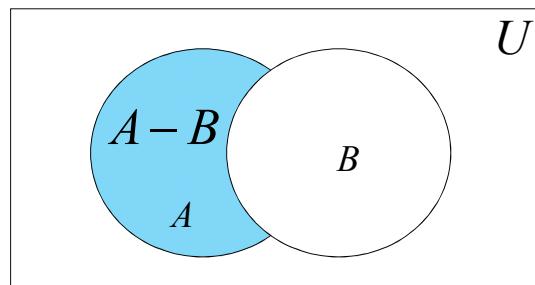
$$A = \{1, 3, 5\} \quad B = \{2, 9\} \quad A \cap B = \emptyset$$

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**Set difference**

$$A - B = \{x \mid x \in A \wedge x \notin B\}$$



$$A = \{1, 3, 5\} \quad B = \{1, 2, 3\} \quad A - B = \{5\}$$

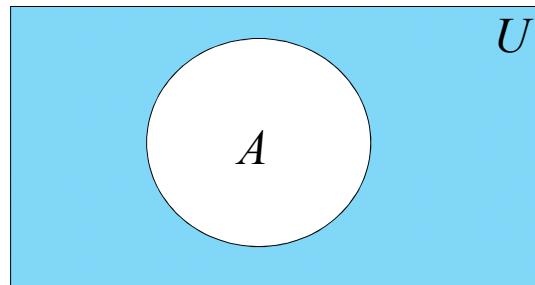
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## Complement

$$\overline{A} = \{x \mid x \notin A\}$$



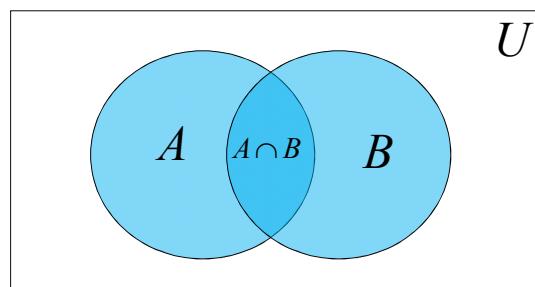
$$A = \{1, 3, 5\} \quad U = \{1, 2, 3, 4, 5\} \quad \overline{A} = \{2, 4\}$$

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## Size of union

$$|A \cup B| = |A| + |B| - |A \cap B|$$



$$A = \{1, 3, 5\} \quad B = \{1, 2, 3\} \quad A \cup B = \{1, 2, 3, 5\} \quad A \cap B = \{1, 3\}$$

$$|A \cup B| = |A| + |B| - |A \cap B| = 3 + 3 - 2 = 4$$

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## De Morgan's laws

$$\overline{A \cap B} = \overline{A} \cup \overline{B}$$

$$\overline{A \cup B} = \overline{A} \cap \overline{B}$$

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Theorem:  $\overline{A \cap B} = \overline{A} \cup \overline{B}$

Proof: Show that  $\overline{A \cap B} \subseteq \overline{A} \cup \overline{B}$  and  $\overline{A} \cup \overline{B} \subseteq \overline{A \cap B}$

Part 1:  $\overline{A \cap B} \subseteq \overline{A} \cup \overline{B}$

$$x \in \overline{A \cap B}$$

$$\rightarrow x \notin A \cap B \rightarrow \neg(x \in A \cap B) \quad \text{De Morgan's law from logic}$$

$$\rightarrow \neg((x \in A) \wedge (x \in B)) \rightarrow \neg(x \in A) \vee \neg(x \in B)$$

$$\rightarrow (x \notin A) \vee (x \notin B) \rightarrow (x \in \overline{A}) \vee (x \in \overline{B})$$

$$\rightarrow x \in (\overline{A} \cup \overline{B})$$

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**Part 2:**  $\overline{A} \cup \overline{B} \subseteq \overline{A \cap B}$

$$x \in (\overline{A} \cup \overline{B})$$

$$\rightarrow (x \in \overline{A}) \vee (x \in \overline{B}) \rightarrow (x \notin A) \vee (x \notin B)$$

$$\rightarrow \neg(x \in A) \vee \neg(x \in B) \rightarrow \neg((x \in A) \wedge (x \in B))$$

$$\rightarrow \neg(x \in A \cap B)$$

De Morgan's law from logic

$$\rightarrow x \in \overline{A \cap B}$$

End of Proof

## Set identities

Identity laws

$$A \cup \emptyset = A$$

$$A \cap U = A$$

Domination laws

$$A \cup U = U$$

$$A \cap \emptyset = \emptyset$$

Idempotent laws

$$A \cup A = A$$

$$A \cap A = A$$

Complementation law

$$\overline{\overline{A}} = A$$

Complement laws

$$A \cup \overline{A} = U$$

$$A \cap \overline{A} = \emptyset$$

De Morgan's laws

$$\overline{A \cup B} = \overline{A} \cap \overline{B}$$

$$\overline{A \cap B} = \overline{A} \cup \overline{B}$$

**Commutative laws**

$$A \cup B = B \cup A$$

$$A \cap B = B \cap A$$

**Associative laws**

$$A \cup (B \cup C) = (A \cup B) \cup C$$

$$A \cap (B \cap C) = (A \cap B) \cap C$$

**Absorption laws**

$$A \cup (A \cap B) = A$$

$$A \cap (A \cup B) = A$$

**Distributive laws**

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$$

$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$$

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## Generalized unions and intersections

$$A_1 \cup A_2 \cup \dots \cup A_n = \bigcup_{i=1}^n A_i$$

$$A_1 \cap A_2 \cap \dots \cap A_n = \bigcap_{i=1}^n A_i$$

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**Example:**  $A_i = \{i, i+1, i+2, \dots\}$

$$\bigcup_{i=1}^n A_i = \bigcup_{i=1}^n \{i, i+1, i+2, \dots\} = A_1 = \{1, 2, 3, \dots\}$$

$$\bigcap_{i=1}^n A_i = \bigcap_{i=1}^n \{i, i+1, i+2, \dots\} = A_n = \{n, n+1, n+2, \dots\}$$

### Computer representation of sets

Represent sets as binary strings

$$U = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$$

$$A = \{1, 3, 5, 7, 9\} \quad \begin{matrix} & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ & \swarrow & \nearrow & & \nearrow & & \nearrow & & \nearrow \\ & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \end{matrix}$$

$$B = \{2, 4, 6, 8, 10\} \quad \begin{matrix} & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ & & \nearrow & & \nearrow & & \nearrow & & \nearrow \\ & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{matrix}$$

Set operations become binary string operations

$$A = \{1,2,3,4,5\} \quad 1111100000$$

$$B = \{1,3,5,7,9\} \quad 1010101010$$

---


$$A \cup B = \{1,2,3,4,5,7,9\} \quad 1111101010$$

Bitwise OR

---


$$A \cap B = \{1,3,5\} \quad 1010100000$$

Bitwise AND

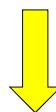
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**Powerset  $P(S)$  of  $S = \underbrace{\{a_1, a_2, a_3, \dots, a_{n-1}, a_n\}}_{n \text{ elements}}$**

$P(S)$	$n$ bits
$\emptyset$ :	0000000000
$\{a_1\}$ :	1000000000
$\{a_2\}$ :	0100000000
$\vdots$	
$S$ :	1111111111

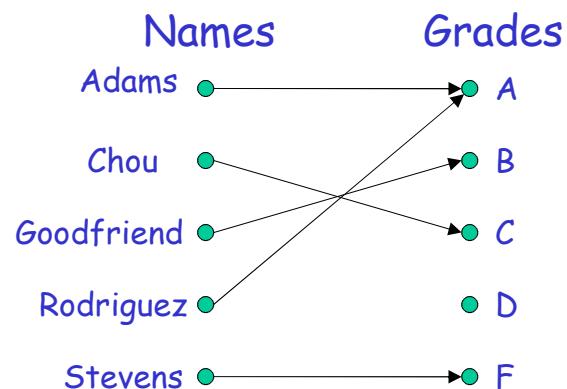
$\left. \right\} 2^n \text{ combinations}$


 $|P(S)| = 2^n = 2^{|S|}$

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## Functions

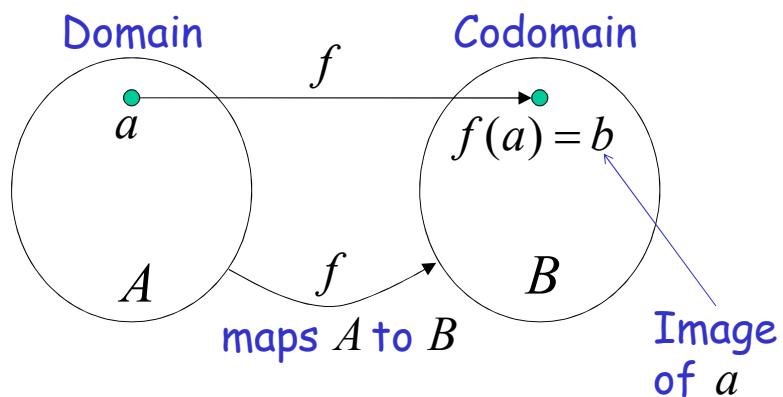


$$f(\text{Chou}) = C \quad f(\text{Rodriguez}) = A$$

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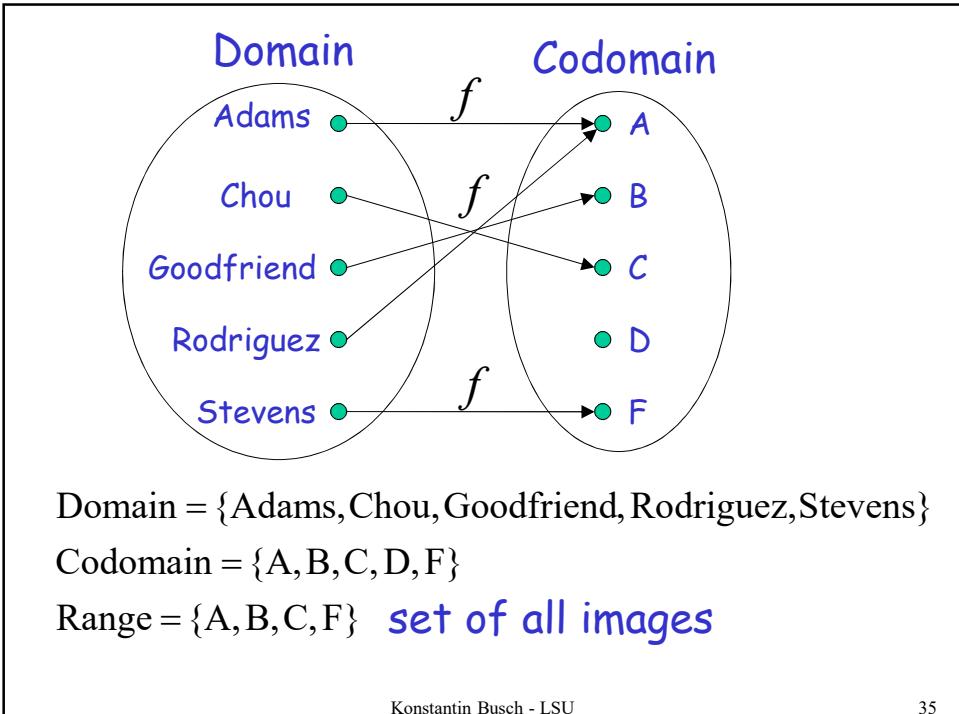
$$f : A \rightarrow B$$



Every element of domain  
has exactly one image

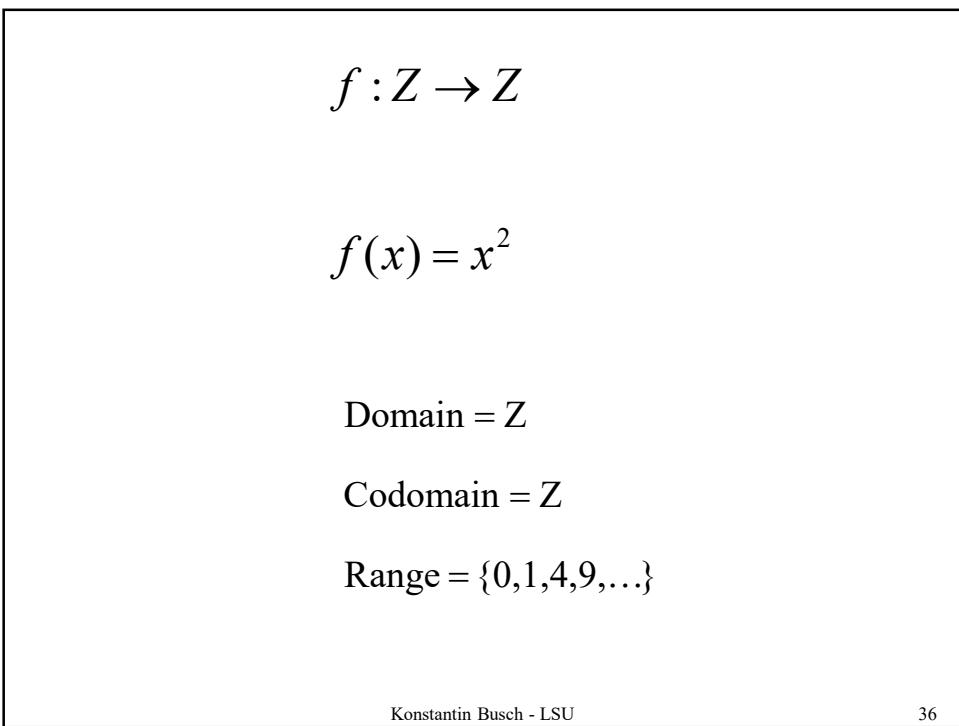
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36

## Equal functions

$$f : A \rightarrow B$$

$$g : C \rightarrow D$$

$$f = g$$

$A = B$  same domain

$B = D$  same codomain

$\forall x \in A, f(x) = g(x)$  same mapping

In some programming languages,  
domain and codomain are explicitly defined

```
int f(int a) {  
    return a*a;  
}
```

## Add and multiply functions

### Real numbers

$$f_1 : A \rightarrow R \quad (f_1 + f_2)(x) = f_1(x) + f_2(x)$$

$$f_2 : A \rightarrow R \quad (f_1 f_2)(x) = f_1(x) f_2(x)$$

Example:  $f_1(x) = x^2 \quad f_2(x) = x - x^2$

$$(f_1 + f_2)(x) = f_1(x) + f_2(x) = x^2 + (x - x^2) = x$$

$$(f_1 f_2)(x) = f_1(x) f_2(x) = x^2 (x - x^2) = x^3 - x^4$$

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39

## Image of set

Set  $S$  
$$f(S) = \{t \mid \exists x \in S (t = f(x))\} \\ = \{f(x) \mid x \in S\}$$

Example:  $f(x) = x^2$

$$f(\{1, 2, 3\}) = \{1, 4, 9\}$$

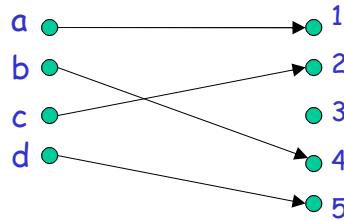
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## One-to-one (injection) function

For every  $x, y$  in domain

$$f(x) = f(y) \text{ implies } x = y$$



Each element of range is image of one element of domain

Examples:  $f(x) = x + 1$  is one-to-one

$g(x) = x^2$  is not one-to-one:  $g(-1) = g(1) = 1$

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Increasing function:  $x < y \rightarrow f(x) \leq f(y)$

Strictly increasing:  $x < y \rightarrow f(x) < f(y)$

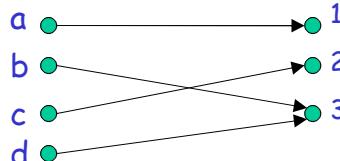
Strictly increasing functions are one-to-one

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**Onto (surjection) function**  $f : A \rightarrow B$

For every  $y \in B$  there is  $x \in A$   
such that  $f(x) = y$



Range = Codomain

Examples:  $f(x) = x + 1$  is onto

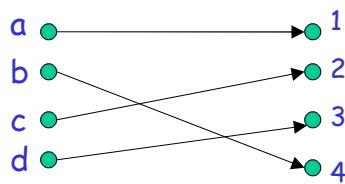
$g(x) = x^2$  is not onto:  $\forall x \in Z, g(x) \neq -1$

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**One-to-one correspondence (bijection) function**

a function which is one-to-one and onto



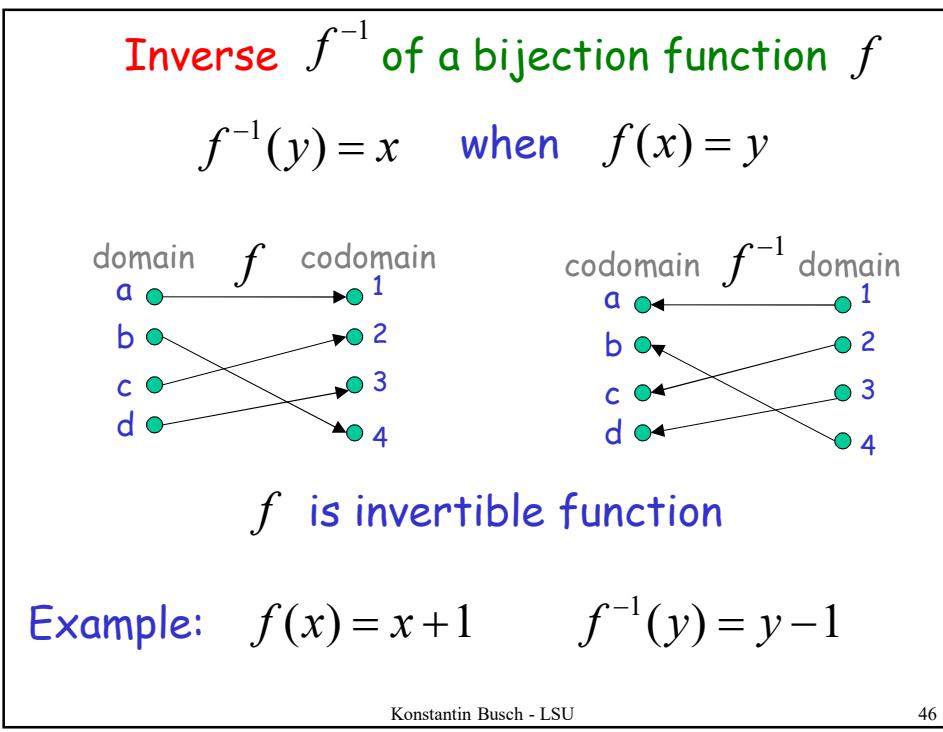
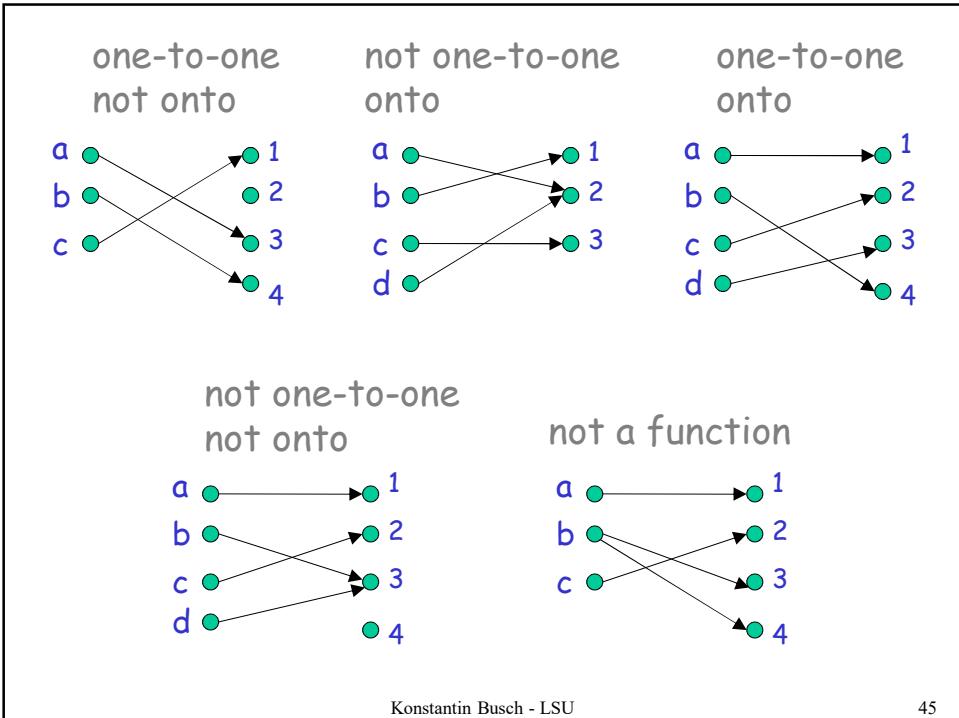
Examples:  $f(x) = x + 1$  is bijection

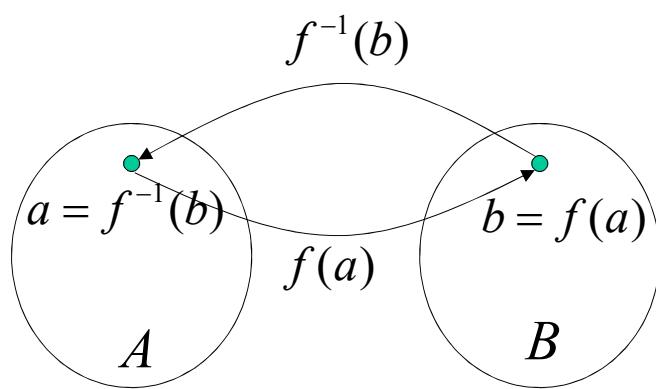
$g(x) = x^2$  is not bijection

Identity function  $\iota_A(x) = x$  is bijection

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44





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47

### Composition of functions

$$f : B \rightarrow C \qquad f \circ g : A \rightarrow C$$

$$g : A \rightarrow B \qquad (f \circ g)(x) = f(g(x))$$

**Example:**  $f(x) = 2x$        $g(x) = x^2$

$$(f \circ g)(x) = f(g(x)) = f(x^2) = 2x^2$$

$$(g \circ f)(x) = g(f(x)) = g(2x) = (2x)^2 = 4x^2$$

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48

identity function

$$f \circ f^{-1} = f^{-1} \circ f = i$$

Suppose  $f(x) = y$

$$(f \circ f^{-1})(y) = f(f^{-1}(y)) = f(x) = y$$

$$(f^{-1} \circ f)(x) = f^{-1}(f(x)) = f^{-1}(y) = x$$

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49

## Floor and Ceiling

Let  $x$  be real

Floor function:  $\lfloor x \rfloor$  largest integer less or equal to  $x$

Ceiling function:  $\lceil x \rceil$  smallest integer greater or equal to  $x$

Examples:  $\left\lfloor \frac{1}{2} \right\rfloor = 0$      $\left\lceil \frac{1}{2} \right\rceil = 1$      $\lfloor -3.1 \rfloor = -4$      $\lceil -3.1 \rceil = -3$

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50

## Factorial function

$$f : N \rightarrow Z^+$$

$$f(n) = n! = 1 \cdot 2 \cdot 3 \cdots (n-1) \cdot n$$

$$f(0) = 0! = 1$$

$$1! = 1$$

$$2! = 1 \cdot 2 = 2$$

$$6! = 1 \cdot 2 \cdot 3 \cdot 4 \cdot 5 \cdot 6 = 720$$

$$20! = 1 \cdot 2 \cdot 3 \cdots 19 \cdot 20 = 2,432,902,008,176,640,000$$

Stirling's formula:  $n! \approx \sqrt{2\pi n} \cdot \left(\frac{n}{e}\right)^n$

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51

## Sequences

**Sequence:** function from a subset of integers to a set  $S$

Finite sequence

$$2, 4, 6, 8, 10$$

$$a_1, a_2, a_3, a_4, a_5$$

Infinite sequence

$$1, 3, 9, 27, 81, \dots$$

Alternate representation

$$f(n) = a_n$$

$$a_n = 3^k, \quad k \geq 0$$

$$f(1) = a_1 = 2$$

$$\{a_n\} = a_1, a_2, a_3, a_4, a_5, \dots$$

$$f(5) = a_5 = 10$$

$$= 1, 3, 9, 27, 81, \dots$$

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**finite sequence:**  $a_1, a_2, \dots, a_n$

**String:**  $a_1a_2a_3 \cdots a_n$   
all elements of sequence concatenated

**Length of string:**  $|a_1a_2 \cdots a_n| = n$

**Empty string (null):**  $\lambda$   $|\lambda| = 0$

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## Arithmetic progression

$a, a+d, a+2d, \dots, a+nd, \dots$

**Initial term**  $a$

**Common difference**  $d$

**Example:**  $\{s_n\} = -1 + 4n$  start with  $n = 0$

$-1, 3, 7, 11, \dots$

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54

## Geometric progression

$$a, ar, ar^2, \dots, ar^n, \dots$$

Initial term  $a$

Common ratio  $r$

Example:  $\{c_n\} = 2 \cdot 5^n$  start with  $n = 0$

$$2, 10, 50, 250, 1250, \dots$$

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## Summations

Sequence:  $a_m, a_{m+1}, a_{m+2}, \dots, a_n$

Sum:  $a_m + a_{m+1} + a_{m+2} + \dots + a_n = \sum_{i=m}^n a_i$

Example:  $\sum_{i=1}^5 i^2 = 1^2 + 2^2 + 3^2 + 4^2 + 5^2 = 55$

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56

**Theorem:**

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

**Proof:**

$$\{a_n\} = 1 \quad 2 \quad 3 \quad 4 \quad \dots \quad n-1 \quad n$$

$$\{b_n\} = n \quad n-1 \quad n-2 \quad n-3 \quad \dots \quad 2 \quad 1$$

$$\{c_n\} = n+1 \quad n+1 \quad n+1 \quad n+1 \quad \dots \quad n+1 \quad n+1$$

$$S = \sum_{i=1}^n i = \sum_{i=1}^n a_i = \sum_{i=1}^n b_i$$
$$n(n+1) = \sum_{i=1}^n c_i = \sum_{i=1}^n a_i + \sum_{i=1}^n b_i = 2S$$

**End of Proof**

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57

**Theorem:** If  $a, r$  are real numbers  
and  $r \notin \{0,1\}$ , then

$$\sum_{i=0}^n ar^i = \frac{ar^{n+1} - a}{r - 1}$$

**Proof:** Let  $S = \sum_{i=0}^n ar^i$

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58

$$\begin{aligned}
 rS &= r \sum_{i=0}^n ar^i \\
 &= \sum_{i=0}^n ar^{i+1} \\
 &= \sum_{k=1}^{n+1} ar^k \\
 &= \left( \sum_{k=0}^n ar^k \right) + (ar^{n+1} - a) \\
 &= S + (ar^{n+1} - a)
 \end{aligned}
 \quad \Rightarrow \quad rS = S + (ar^{n+1} - a)$$



$$S = \frac{ar^{n+1} - a}{r - 1}$$

End of Proof

### Useful Summation Formulas

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

$$\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}$$

$$\sum_{i=0}^n ar^i = \frac{ar^{n+1} - a}{r - 1}, \quad r \notin \{0,1\}$$

$$\sum_{i=0}^{\infty} x^i = \frac{1}{1-x}, \quad |x| < 1$$

# Countable Sets

Countable finite set:

Any finite set is countable by default

Countable infinite set:

An infinite set  $S$  is countable if there is a one-to-one correspondence from  $S$  to  $\mathbb{Z}^+$

Positive integers

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61

Theorem: Even positive integers are countable

Proof:

Even positive integers: 2, 4, 6, 8, ...

One-to-one Correspondence:

Positive integers: 1, 2, 3, 4, ...

$n$  corresponds to  $2n$   
End of Proof

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**Theorem:** The set of rational numbers is countable

**Proof:**

We need to find a method to list

all rational numbers:  $\frac{1}{2}, \frac{3}{4}, \frac{7}{8}, \dots$

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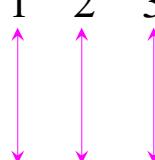
63

**Naïve Approach**

Start with nominator=1

Rational numbers:  $\frac{1}{1}, \frac{1}{2}, \frac{1}{3}, \dots$

One-to-one correspondence:



Positive integers: 1, 2, 3, ...

Doesn't work:

we will never list numbers with nominator 2:  $\frac{2}{1}, \frac{2}{2}, \frac{2}{3}, \dots$

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### Better Approach: scan diagonals

Nomin.=1	$\frac{1}{1}$	$\frac{1}{2}$	$\frac{1}{3}$	$\frac{1}{4}$	...
Nomin.=2	$\frac{2}{1}$	$\frac{2}{2}$	$\frac{2}{3}$	...	
Nomin.=3	$\frac{3}{1}$	$\frac{3}{2}$	...		
Nomin.=4	$\frac{4}{1}$	...			

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### first diagonal

	$\frac{1}{1}$	$\frac{1}{2}$	$\frac{1}{3}$	$\frac{1}{4}$	...
	$\frac{2}{1}$	$\frac{2}{2}$	$\frac{2}{3}$	...	
	$\frac{3}{1}$	$\frac{3}{2}$	...		
	$\frac{4}{1}$	...			

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### second diagonal

$\frac{1}{1}$	$\frac{1}{2}$	$\frac{1}{3}$	$\frac{1}{4}$	$\dots$
$\frac{2}{1}$	$\frac{2}{2}$	$\frac{2}{3}$	$\dots$	
$\frac{3}{1}$	$\frac{3}{2}$	$\dots$		
$\frac{4}{1}$	$\dots$			

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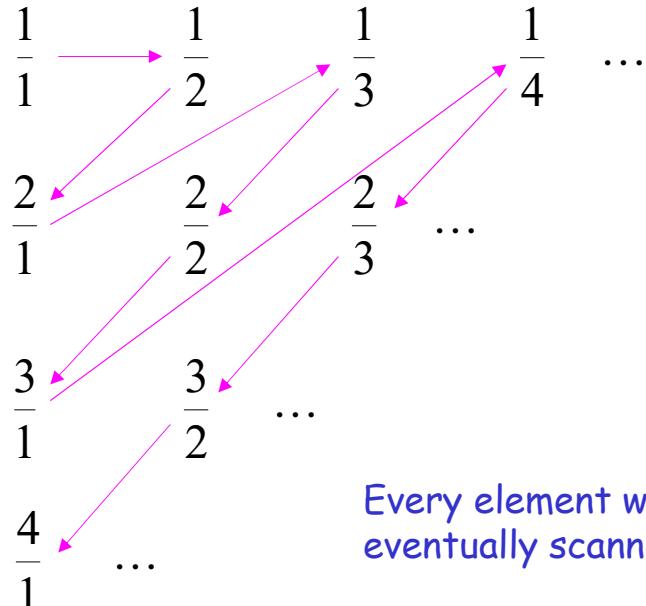
### third diagonal

$\frac{1}{1}$	$\frac{1}{2}$	$\frac{1}{3}$	$\frac{1}{4}$	$\dots$
$\frac{2}{1}$	$\frac{2}{2}$	$\frac{2}{3}$	$\dots$	
$\frac{3}{1}$	$\frac{3}{2}$	$\dots$		
$\frac{4}{1}$	$\dots$			

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fourth diagonal...



Every element will be eventually scanned

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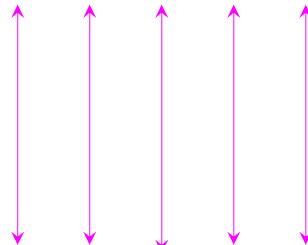
69

Diagonal listing

Rational Numbers:

$\frac{1}{1}, \frac{1}{2}, \frac{2}{1}, \frac{1}{3}, \frac{2}{2}, \dots$

One-to-one correspondence:



Positive Integers:

1, 2, 3, 4, 5, ...

End of Proof

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70

**Theorem:** Set  $S = (0,1) \subseteq R$  is uncountable

**Proof:** Assume that  $S$  is countable,

then we can list its elements

$$S = \{s_1, s_2, s_3, \dots\}$$

↑  
Elements of  $S$

List the elements of  $S = (0,1)$

$$\begin{aligned} s_1 &= 0 . 0 1 4 5 2 9 4 2 1 6 \dots \\ s_2 &= 0 . 1 2 1 3 2 1 5 7 3 1 \dots \\ s_3 &= 0 . 1 3 0 2 0 5 3 1 8 4 \dots \\ s_4 &= 0 . 3 2 1 0 0 3 2 1 1 3 \dots \\ s_5 &= 0 . 4 6 1 8 4 2 1 5 2 1 \dots \\ &\vdots \end{aligned}$$

$$\begin{aligned}
 s_1 &= 0 . \quad 0 \quad 1 \quad 4 \quad 5 \quad 2 \quad 9 \quad 4 \quad 2 \quad 1 \quad 6 \quad \dots \\
 s_2 &= 0 . \quad 1 \quad 2 \quad 1 \quad 3 \quad 2 \quad 1 \quad 5 \quad 7 \quad 3 \quad 1 \quad \dots \\
 s_3 &= 0 . \quad 1 \quad 3 \quad 0 \quad 2 \quad 0 \quad 5 \quad 3 \quad 1 \quad 8 \quad 4 \quad \dots \\
 s_4 &= 0 . \quad 3 \quad 2 \quad 1 \quad 0 \quad 0 \quad 3 \quad 2 \quad 1 \quad 1 \quad 3 \quad \dots \\
 s_5 &= 0 . \quad 4 \quad 6 \quad 1 \quad 8 \quad 4 \quad 2 \quad 1 \quad 5 \quad 2 \quad 1 \quad \dots \\
 \vdots &
 \end{aligned}$$

Create new element based on diagonal

$$t = 0 . \quad x_1 \quad x_2 \quad x_3 \quad x_4 \quad x_5 \quad x_6 \quad x_7 \quad x_8 \quad x_9 \quad x_{10} \quad \dots$$

$$\begin{aligned}
 s_1 &= 0 . \quad \textcircled{0} \quad 1 \quad 4 \quad 5 \quad 2 \quad 9 \quad 4 \quad 2 \quad 1 \quad 6 \quad \dots \\
 s_2 &= 0 . \quad 1 \quad 2 \quad 1 \quad 3 \quad 2 \quad 1 \quad 5 \quad 7 \quad 3 \quad 1 \quad \dots \\
 s_3 &= 0 . \quad 1 \quad 3 \quad 0 \quad 2 \quad 0 \quad 5 \quad 3 \quad 1 \quad 8 \quad 4 \quad \dots \\
 s_4 &= 0 . \quad 3 \quad 2 \quad 1 \quad 0 \quad 0 \quad 3 \quad 2 \quad 1 \quad 1 \quad 3 \quad \dots \\
 s_5 &= 0 . \quad 4 \quad 6 \quad 1 \quad 8 \quad 4 \quad 2 \quad 1 \quad 5 \quad 2 \quad 1 \quad \dots \\
 \vdots &
 \end{aligned}$$

If diagonal element is 0 then set digit to 1

$$t = 0 . \quad \textcircled{1} \quad x_2 \quad x_3 \quad x_4 \quad x_5 \quad x_6 \quad x_7 \quad x_8 \quad x_9 \quad x_{10} \quad \dots$$

$$\begin{aligned}
 s_1 &= 0 . \quad 0 \quad 1 \quad 4 \quad 5 \quad 2 \quad 9 \quad 4 \quad 2 \quad 1 \quad 6 \quad \dots \\
 s_2 &= 0 . \quad 1 \quad 2 \quad 1 \quad 3 \quad 2 \quad 1 \quad 5 \quad 7 \quad 3 \quad 1 \quad \dots \\
 s_3 &= 0 . \quad 1 \quad 3 \quad 0 \quad 2 \quad 0 \quad 5 \quad 3 \quad 1 \quad 8 \quad 4 \quad \dots \\
 s_4 &= 0 . \quad 3 \quad 2 \quad 1 \quad 0 \quad 0 \quad 3 \quad 2 \quad 1 \quad 1 \quad 3 \quad \dots \\
 s_5 &= 0 . \quad 4 \quad 6 \quad 1 \quad 8 \quad 4 \quad 2 \quad 1 \quad 5 \quad 2 \quad 1 \quad \dots \\
 \vdots &
 \end{aligned}$$

If diagonal element is not 0 then set digit to 0

$$t = 0 . \quad 1 \quad 0 \quad x_3 \quad x_4 \quad x_5 \quad x_6 \quad x_7 \quad x_8 \quad x_9 \quad x_{10} \quad \dots$$

$$\begin{aligned}
 s_1 &= 0 . \quad 0 \quad 1 \quad 4 \quad 5 \quad 2 \quad 9 \quad 4 \quad 2 \quad 1 \quad 6 \quad \dots \\
 s_2 &= 0 . \quad 1 \quad 2 \quad 1 \quad 3 \quad 2 \quad 1 \quad 5 \quad 7 \quad 3 \quad 1 \quad \dots \\
 s_3 &= 0 . \quad 1 \quad 3 \quad 0 \quad 2 \quad 0 \quad 5 \quad 3 \quad 1 \quad 8 \quad 4 \quad \dots \\
 s_4 &= 0 . \quad 3 \quad 2 \quad 1 \quad 0 \quad 0 \quad 3 \quad 2 \quad 1 \quad 1 \quad 3 \quad \dots \\
 s_5 &= 0 . \quad 4 \quad 6 \quad 1 \quad 8 \quad 4 \quad 2 \quad 1 \quad 5 \quad 2 \quad 1 \quad \dots \\
 \vdots &
 \end{aligned}$$

If diagonal element is 0 then set digit to 1

$$t = 0 . \quad 1 \quad 0 \quad 1 \quad x_4 \quad x_5 \quad x_6 \quad x_7 \quad x_8 \quad x_9 \quad x_{10} \quad \dots$$

$$\begin{aligned}
 s_1 &= 0 . 0 1 4 5 2 9 4 2 1 6 \dots \\
 s_2 &= 0 . 1 2 1 3 2 1 5 7 3 1 \dots \\
 s_3 &= 0 . 1 3 0 2 0 5 3 1 8 4 \dots \\
 s_4 &= 0 . 3 2 1 0 0 3 2 1 1 3 \dots \\
 s_5 &= 0 . 4 6 1 8 4 2 1 5 2 1 \dots \\
 \vdots &
 \end{aligned}$$

If diagonal element is 0 then set digit to 1

$$t = 0 . 1 0 1 1 x_5 \quad x_6 \quad x_7 \quad x_8 \quad x_9 \quad x_{10} \quad \dots$$

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77

$$\begin{aligned}
 s_1 &= 0 . 0 1 4 5 2 9 4 2 1 6 \dots \\
 s_2 &= 0 . 1 2 1 3 2 1 5 7 3 1 \dots \\
 s_3 &= 0 . 1 3 0 2 0 5 3 1 8 4 \dots \\
 s_4 &= 0 . 3 2 1 0 0 3 2 1 1 3 \dots \\
 s_5 &= 0 . 4 6 1 8 4 2 1 5 2 1 \dots \\
 \vdots &
 \end{aligned}$$

If diagonal element is not 0 then set digit to 0

$$t = 0 . 1 0 1 1 0 x_6 \quad x_7 \quad x_8 \quad x_9 \quad x_{10} \quad \dots$$

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78

$$\begin{aligned}
 s_1 &= 0 . \quad 0 \quad 1 \quad 4 \quad 5 \quad 2 \quad 9 \quad 4 \quad 2 \quad 1 \quad 6 \quad \dots \\
 s_2 &= 0 . \quad 1 \quad 2 \quad 1 \quad 3 \quad 2 \quad 1 \quad 5 \quad 7 \quad 3 \quad 1 \quad \dots \\
 s_3 &= 0 . \quad 1 \quad 3 \quad 0 \quad 2 \quad 0 \quad 5 \quad 3 \quad 1 \quad 8 \quad 4 \quad \dots \\
 s_4 &= 0 . \quad 3 \quad 2 \quad 1 \quad 0 \quad 0 \quad 3 \quad 2 \quad 1 \quad 1 \quad 3 \quad \dots \\
 s_5 &= 0 . \quad 4 \quad 6 \quad 1 \quad 8 \quad 4 \quad 2 \quad 1 \quad 5 \quad 2 \quad 1 \quad \dots \\
 \vdots &
 \end{aligned}$$

By repeating process we obtain new number

$$t = 0 . \quad 1 \quad 0 \quad 1 \quad 1 \quad 0 \quad 1 \quad \dots \in (0,1)$$

$$\begin{aligned}
 s_1 &= 0 . \quad \textcircled{0} \quad 1 \quad 4 \quad 5 \quad 2 \quad 9 \quad 4 \quad 2 \quad 1 \quad 6 \quad \dots \\
 s_2 &= 0 . \quad 1 \quad 2 \quad 1 \quad 3 \quad 2 \quad 1 \quad 5 \quad 7 \quad 3 \quad 1 \quad \dots \\
 s_3 &= 0 . \quad 1 \quad 3 \quad 0 \quad 2 \quad 0 \quad 5 \quad 3 \quad 1 \quad 8 \quad 4 \quad \dots \\
 s_4 &= 0 . \quad 3 \quad 2 \quad 1 \quad 0 \quad 0 \quad 3 \quad 2 \quad 1 \quad 1 \quad 3 \quad \dots \\
 s_5 &= 0 . \quad 4 \quad 6 \quad 1 \quad 8 \quad 4 \quad 2 \quad 1 \quad 5 \quad 2 \quad 1 \quad \dots \\
 \vdots &
 \end{aligned}$$

**Observation:**  $t \neq s_1$  (differ on first digit)

$$t = 0 . \quad \textcircled{1} \quad 0 \quad 1 \quad 1 \quad 0 \quad 1 \quad \dots$$

$$\begin{aligned}
 s_1 &= 0 . 0 1 4 5 2 9 4 2 1 6 \dots \\
 s_2 &= 0 . 1 2 1 3 2 1 5 7 3 1 \dots \\
 s_3 &= 0 . 1 3 0 2 0 5 3 1 8 4 \dots \\
 s_4 &= 0 . 3 2 1 0 0 3 2 1 1 3 \dots \\
 s_5 &= 0 . 4 6 1 8 4 2 1 5 2 1 \dots \\
 \vdots &
 \end{aligned}$$

**Observation:**  $t \neq s_2$  (differ on second digit)

$$t = 0 . 1 0 1 1 0 1 \dots$$

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81

$$\begin{aligned}
 s_1 &= 0 . 0 1 4 5 2 9 4 2 1 6 \dots \\
 s_2 &= 0 . 1 2 1 3 2 1 5 7 3 1 \dots \\
 s_3 &= 0 . 1 3 0 2 0 5 3 1 8 4 \dots \\
 s_4 &= 0 . 3 2 1 0 0 3 2 1 1 3 \dots \\
 s_5 &= 0 . 4 6 1 8 4 2 1 5 2 1 \dots \\
 \vdots &
 \end{aligned}$$

**Observation:**  $t \neq s_3$  (differ on third digit)

$$t = 0 . 1 0 1 1 0 1 \dots$$

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82

**Observation:**  $t \neq s_i$  (differ on  $i$  digit)  
for every  $i$



$$t \notin S = \{s_1, s_2, \dots\} = (0,1)$$

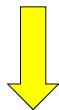
**Contradiction!**

$$t = 0 . 1 0 1 1 0 1 \dots \in (0,1)$$

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We have proven:  $(0,1) \subseteq R$  is uncountable

It can be proven: Every subset of a countable set is countable



It follows that the set of real numbers  $R$  is uncountable

The previous proof technique is known as:

Cantor diagonalization argument

The same technique can  
be used in other proofs

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85

**Theorem:** If  $S$  is an infinite countable set,  
then the power set  $P(S)$   
is uncountable

**Proof:**

Since  $S$  is countable, we can list its elements

$$S = \{s_1, s_2, s_3, \dots\}$$



Elements of  $S$

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Elements of the power set  $P(S)$  have the form:

$\emptyset$

$\{s_1\}$

$\{s_1, s_3\}$

$\{s_1, s_3, s_4\}$

$\{s_5, s_7, s_9, s_{10}\}$

$\vdots$

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We encode each element of the powerset with a binary string of 0's and 1's

Powerset elements (in arbitrary order)	Binary encoding				
	$s_1$	$s_2$	$s_3$	$s_4$	$\dots$
$\{s_1\}$	1	0	0	0	$\dots$
$\{s_2, s_3\}$	0	1	1	0	$\dots$
$\{s_1, s_3, s_4\}$	1	0	1	1	$\dots$

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88

**Observation:**

Every infinite binary string corresponds to an element of the power set

Example:

1001110 ...  
↓    ↓    ↓    ↓  
 $\{s_1, s_4, s_5, s_6, \dots\} \in P(S)$

Corresponds to:

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89

Let's assume (for contradiction) that the power set  $P(S)$  is countable

Then: we can enumerate the elements of the powerset

$$P(S) = \{t_1, t_2, t_3, \dots\}$$

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90

Power set  
element  $P(S)$

suppose that this is the respective  
**Binary encoding**

$t_1$	1	0	0	0	0	$\dots$
-------	---	---	---	---	---	---------

$t_2$	1	1	0	0	0	$\dots$
-------	---	---	---	---	---	---------

$t_3$	1	1	0	1	0	$\dots$
-------	---	---	---	---	---	---------

$t_4$	1	1	0	0	1	$\dots$
-------	---	---	---	---	---	---------

$\vdots$

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Take the binary string whose bits  
are the complement of the diagonal

$t_1$	1	0	0	0	0	$\dots$
-------	---	---	---	---	---	---------

$t_2$	1	1	0	0	0	$\dots$
-------	---	---	---	---	---	---------

$t_3$	1	1	0	1	0	$\dots$
-------	---	---	---	---	---	---------

$t_4$	1	1	0	0	1	$\dots$
-------	---	---	---	---	---	---------

Complement of  
diagonal

0	0	1	1	$\dots$
---	---	---	---	---------

Binary string:  $t = 0011 \dots$

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The binary string  $t = 0011 \dots$   
 corresponds  
 to an element of  
 the power set  $P(S)$ :  $t = \{s_3, s_4, \dots\} \in P(S)$

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93

Thus,  $t$  must be equal to some  $t_i$ :  $t = t_i$

$$t \in P(S)$$

However,

the  $i$ -th bit in the binary string of  $t$  is  
 different than the  $i$ -th bit of  $t_i$ , thus:  $t \neq t_i$

$$t \notin P(S) = \{t_1, t_2, \dots, t_n\}$$

**Contradiction!!!**      **End of Proof**

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94